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3 Hypotheses to Explain the Few Early Coastal Archaeological Deposits in  
4 Sāmoa: Preliminary Evaluations

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16  
17 Abstract:

18 The Remote Oceanic archipelagos from Vanuatu to Sāmoa were first occupied 3000 years  
19 ago by populations with Lapita pottery at over 100 colonization sites. In Sāmoa, however, the  
20 first millennium of settlement is comprised of only a few isolated archaeological sites, and  
21 only one with Lapita pottery. This unique archaeological record is typically explained as a  
22 result of isostatic subsidence that destroyed or displaced more numerous coastal colonization  
23 sites. Three additional hypotheses may account for this pattern. First, few coastal flats may  
24 have existed for settlement, limiting occupation of the archipelago. Second, terrestrial  
25 geological processes may have destroyed what were once more numerous sites. Third, the

26 few early and isolated sites in Sāmoa may reflect a small population of colonists resulting  
27 from demographic processes, including wave-front population density, or the Allee effect.  
28 We conducted a preliminary examination of the first two alternative hypotheses through a  
29 programme of coring and excavation across three coastlines on ‘Upolu island, Sāmoa. Sub-  
30 surface sediment data suggest both hypotheses may be valid explanations in different coastal  
31 settings. We propose additional research to test this possibility.

32

33 **Keywords:** Sāmoa, Lapita, beach ridge, colonization

34

35 **Highlights:**

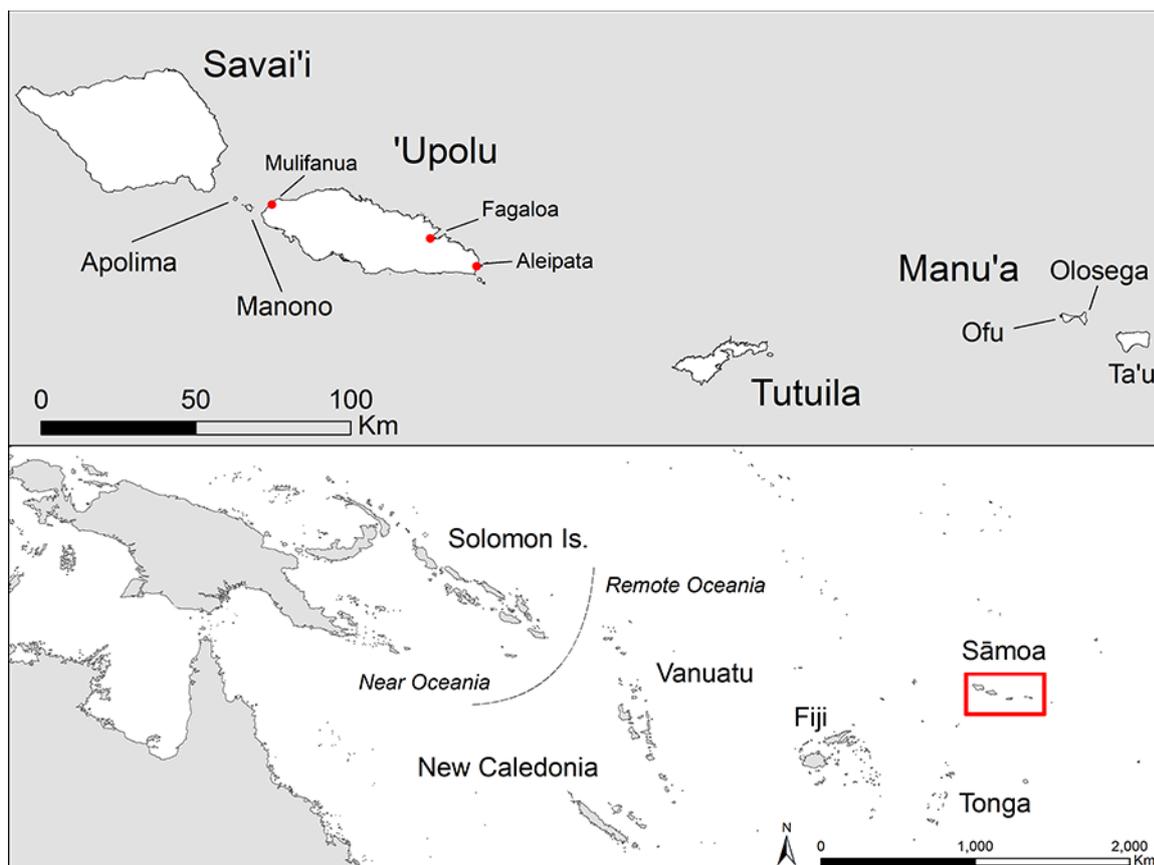
- 36 • Subsurface sampling in three contrasting coastal areas on ‘Upolu island.
- 37 • Sedimentological and chronological data reveals varied depositional histories
- 38 • Lack of coastal flats and geological destruction may explain archaeological record

39

## 40 1.0 Introduction

41 Lapita pottery sites in Remote Oceania date between approximately 3000 and 2700  
42 cal BP (Sheppard et al. 2015; Rieth and Cochrane 2018) and are spread across beach ridges  
43 of the region's archipelagos (Dickinson 2014), recording first human colonization of the  
44 southwest Pacific (Figure 1). In Sāmoa there is a distinct lack of Lapita sites, defined by the  
45 eponymous ceramics, a puzzle that has preoccupied archaeologists for almost 50 years (e.g.,  
46 Clark 1996; Burley and Addison 2018; Green 1974, 2002). Post-Lapita sites, including  
47 deposits dated to the first 1000 years of Sāmoan settlement are also extremely limited  
48 compared to nearby Tonga and Fiji (Cochrane et al. 2013; Clark et al. 2016). The generally  
49 accepted explanation for Sāmoa's unique archaeological record of the first 1000 years is that  
50 relative island subsidence has destroyed or displaced the archaeological deposits that must  
51 have existed in greater numbers along coastlines (Dickinson and Green 1998; Green 2002).  
52 This has been demonstrated for Sāmoa's single Lapita pottery site at Mulifanua on 'Upolu's  
53 northwest coast (Figure 1; Dickinson 2007). The Mulifanua deposit containing Lapita  
54 pottery, lithics, and faunal remains dates to ca. 2750 cal BP and was discovered over 100 m  
55 offshore beneath a layer of beachrock during mechanical excavation for a car-ferry berth  
56 (Petchey 1995, 2001; Leach and Green 1989). Additional geoarchaeological and geological  
57 studies have shown that 'Upolu is subsiding due to Savai'i island's lithospheric loading, and  
58 it is subsiding at a faster rate in the west near Savai'i than in the east (Kane et al. 2017;  
59 Goodwin and Grossman 2003), although possible tectonic influences on differential  
60 subsidence along a north- to south-coast gradient have not been investigated.

61



62

63 Figure 1. The southwest islands of the Pacific Ocean with the islands of Sāmoa and project  
 64 areas (inset).

65

66 Island subsidence, however, may not be the correct explanation for the *general* lack of  
 67 archaeological sites dating to first 1000 years of Sāmoan settlement. After extensive  
 68 archaeological research on Tutuila island, the oldest documented site dates to approximately  
 69 300 years after Mulifanua (Rieth and Hunt 2008). In the small islands of the Manu'a group  
 70 farther east (Figure 1), Clark et al.'s (2016) Bayesian model suggests that the start of human  
 71 occupation on Ofu begins 2774-2647 *cal BP* (95.4% HPD), just after or coeval with  
 72 occupation of Mulifanua (see also Petchey and Kirch 2019). Tutuila and the Manu'a group  
 73 are not subsiding under influence from Savai'i as they are too far east, although Dickinson  
 74 (2007) notes that other possible isostatic and eustatic effects have not been thoroughly  
 75 investigated. Kirch (1993), too, has proposed that volcanic activity around Ta'u may have

76 caused the burial of early cultural deposits there by over 3 m of sediment. Therefore, other  
77 explanations besides island subsidence are necessary to account for the negligible  
78 archaeological record throughout Sāmoa for the first 1000 years.

79 A possible explanation has been offered by Reith and colleagues (2008). Their *coastal*  
80 *flats* hypothesis proposes that there were very few sandy coastal flats (one form of beach  
81 ridge; see Dickinson 2014) in Sāmoa earlier than approximately 2300-2000 cal BP. As these  
82 landforms were favoured for occupation elsewhere in the Lapita and early post-Lapita range,  
83 would-be colonizers may have largely avoided Sāmoa for other islands where sandy coastal  
84 flats were prevalent. A second hypothesis proposes that terrestrial geological processes may  
85 have destroyed what were once more abundant archaeological sites in the first millennium of  
86 Sāmoan settlement. This *terrestrial destruction* hypothesis is not mutually exclusive with  
87 relative sea-level rise. A third hypothesis was proposed by Cochrane et al. (2013) who  
88 suggest Sāmoan colonists were both few in number and relatively isolated in different areas  
89 of the archipelago, such that the lack of Lapita and immediately post-Lapita sites accurately  
90 reflects demography. More recently, Cochrane (2018) further developed this *demographic*  
91 hypothesis, suggesting that the Allee effect (Allee et al. 1949; Courchamp et al. 1999)  
92 provided a mechanism by which small, isolated populations could experience low or negative  
93 growth due to a reduction in the number of cooperative interactions between individuals.

94 Here we report a preliminary investigation of the coastal flats and terrestrial  
95 destruction hypotheses. We deployed auger cores and excavation trenches in coastal settings  
96 of ‘Upolu, including Mulifanua, Fagaloa, and Aleipata (Figure 1). Our work supports the  
97 coastal flats hypothesis, but we argue that additional work is necessary to thoroughly test this  
98 and the terrestrial destruction hypothesis. We discuss how this additional work can best  
99 proceed.

## 101 2.0 Methods

102           Auger cores were excavated to identify subsurface layer characteristics and other data  
103 useful for preliminary reconstructions of paleocoastal landforms and depositional histories.  
104 Auger cores were generally placed in transects perpendicular to current coastlines and across  
105 the slope break from the coast to the interior. Auger locations were recorded with a GPS unit  
106 to approximately 0.5 m horizontal precision. Standard procedures were used to recover cores  
107 using an 8 cm diameter bucket. As sediments were not examined in situ, they were described  
108 using an abbreviated United States Department of Agriculture (USDA) system and grain  
109 sizes were estimated using the Wentworth scale. Layer transitions were described when  
110 possible. All layer data for each core are available at Cochrane et al. (2019). Similarly  
111 labelled layers (e.g., Layer III) in different cores in this dataset do not necessarily represent  
112 the same depositional unit.

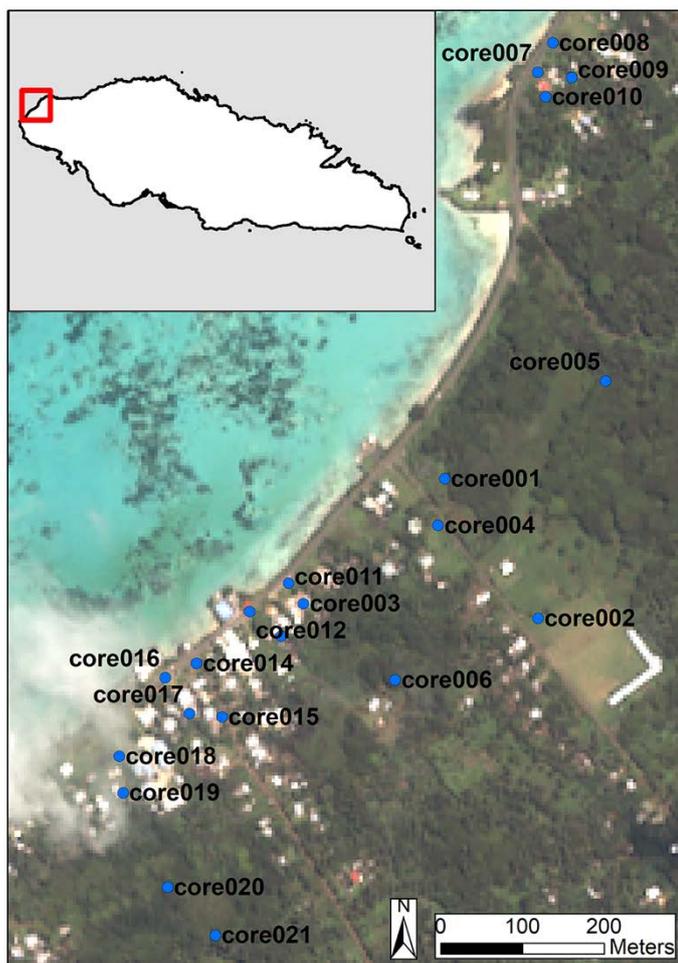
113           Considering the coastal flats hypothesis, we also conducted geostatistical interpolation  
114 analyses on the most recent extent of subsurface carbonate sand layers. We modelled only the  
115 most recent extent as correlating the basal depths of these layers from different cores was  
116 not possible due to large variation in the distinctiveness of lower boundaries. These  
117 geostatistical interpolations provide foundations for further geoarchaeological research (e.g.,  
118 Morrison et al. 2018) to be coupled with detailed chronologies. We conducted Ordinary  
119 Kriging using either a Gaussian semivariogram model or a spherical semivariogram model.  
120 Models were selected to best optimize the fit between the sample and model variogram.  
121 Analyses were conducted in R (R Core Team 2017) using the gstat package (Pebesma and  
122 Heuvelink 2016). All code, core descriptions, GIS and other data needed to reproduce these  
123 analyses are available at Cochrane et al. (2019).

124

## 125 3.0 Results

### 126 3.1 Mulifanua

127           A submarine Lapita assemblage approximately 115 m offshore has already been  
128 identified at Mulifanua (Dickinson and Green 1998; Petchey 1995), but terrestrial  
129 archaeological excavation has never been conducted. Twenty-one auger cores were placed  
130 within an approximately 0.28 km area in Mulifanua village (Figure 2), primarily to the  
131 evaluate the terrestrial destruction hypothesis, but also to provide information on the possible  
132 extent of the paleo beach-ridge. Median core depth was 1.24 m, with a maximum of 2.63 m.  
133 Cores placed in the south-western portion of Mulifanua, and within about 100 m of the  
134 current coastline, typically revealed loamy sediments grading into sands. This area is also  
135 low-lying, swampy and the water-table was encountered between 0.6 and 0.9 m below  
136 ground. Cores here were abandoned at variable depths, typically about 1.4 m (see  
137 supplementary data at Cochrane et al. 2019), due to subsurface water that prohibited recovery  
138 of sediment in the auger bucket. The coastal-inland width of this low-lying area is variable  
139 across the village and silty clay sediments with basalt cobbles and boulders were encountered  
140 in cores placed inland of it, on the slope-break leading to higher elevation (cores 5, 6, 9, 10).  
141 These inland cores were all abandoned before reaching 1 m due to impassable rocks.



142

143 Figure 2. Mulifanua project area, 'Upolu, Sāmoa.

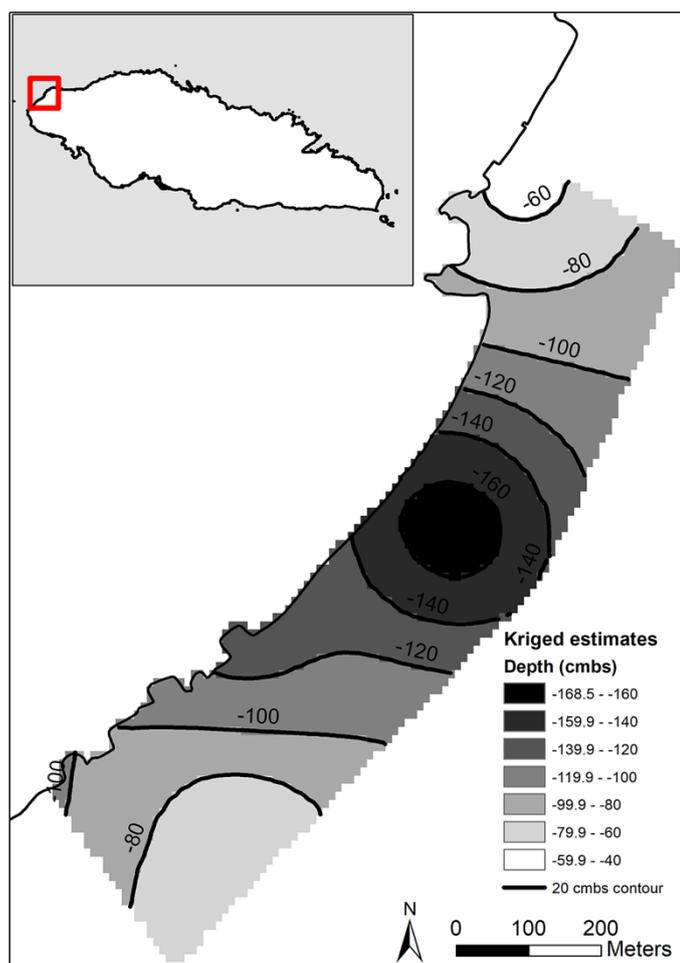
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145 Cultural material encountered in the cores amounts to archaeological shell in the top  
 146 layer of core 1, and charcoal chunks and staining in cores 9 (at 0.9 m below surface) and 13  
 147 (from 0.74 to 1.4 m below surface). The charcoal was not collected as it was not clearly  
 148 associated with a particular archaeological event. Aside from these finds, the cores reveal no  
 149 clear evidence of human presence in any of the strata below the surface layer.

150 The lack of subsurface finds contrasts with Dickinson and Green's (1998:243)

151 characterisation of the Mulifanua offshore Lapita deposit as a terrestrial coastal midden. This

152 midden subsided into the tidal zone after which superposed carbonate sand formed into  
153 beachrock. Possible beach rock was encountered in core 7 at approximately 1.5 m below the  
154 land surface, but this appears too shallow to be the same formation capping the Lapita  
155 deposit. Only Core 1 attained a depth approaching the Lapita deposit depth and revealed  
156 carbonate sand strata, but this core did not encounter beachrock or cultural materials.  
157 Multiple cores did, however, reveal sand sediments similar to that stratigraphically below the  
158 offshore Lapita deposit, carbonate sand with basalt pebbles and corals as found in Cores 4,  
159 11, 17, 19, and 20. Taking these observations together, the auger cores suggest that a similar  
160 depositional environment of reef and shell derived carbonate sands with minor basalt inputs  
161 has prevailed in some coastal areas of Mulifanua since Lapita times up to the interface of the  
162 carbonate sand layer and the overlying terrigenous deposits identified in the cores. The top  
163 surface of this carbonate sand layer is modelled from Cores 1, 3, 7, 11-15, and 17-20. The  
164 Kriged interpolation of the depth of carbonate sand deposits within the Mulifanua cores  
165 reveals relatively shallow depths (e.g., 0.60-0.70 mbs) in the southwestern and northeastern  
166 portions of the survey area and deeper deposits (e.g., 1.40-1.60 mbs) in the central region of  
167 our study area (Figure 3).



168

169 Figure 3. Kriged interpolation of the top of the Mulifanua subsurface carbonate sand deposit.

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### 171 3.2 Fagaloa

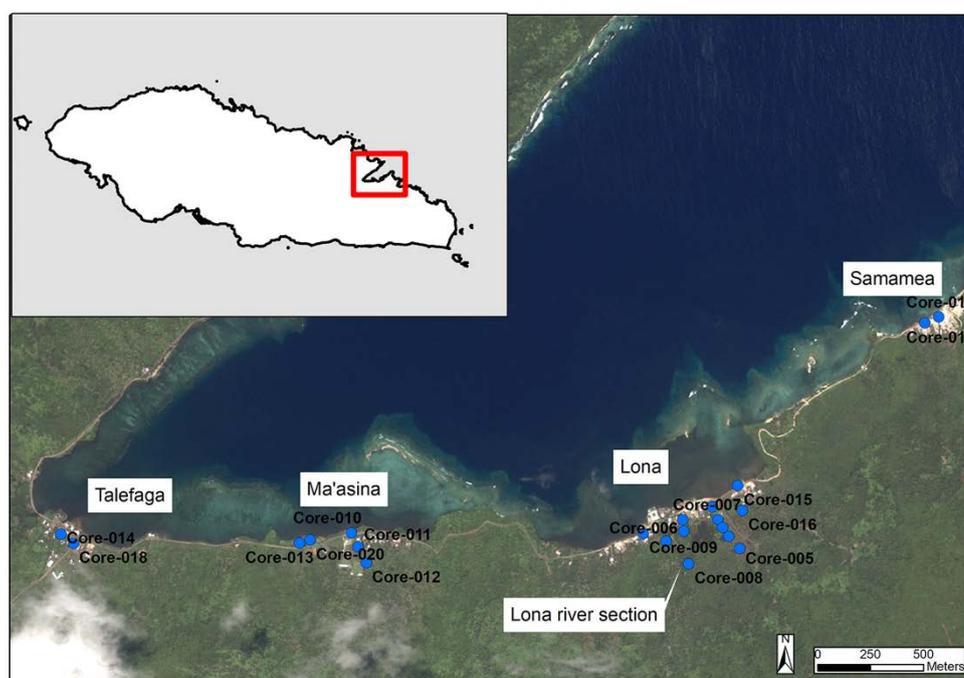
172 Twenty auger cores were placed in four villages spread along approximately 2 km of  
 173 coastline in Fagaloa (Figure 4). Median core depth was 1.26 m, with a maximum of 2.34 m.

174 At the western end of the coastline in Talefaga Village, cores 14 and 18 reached a maximum  
 175 depth of 1.45 and 1.89 m below the surface, respectively, after encountering impassable rock.

176 Both cores contain carbonate sand sediments in the upper layers, a result of modern fill

177 episodes (related by landowners), and lower layers of increasing clay content, and basalt

178 gravels and cobbles. Charcoal is found throughout both cores. To the east in Ma'asina  
 179 Village, cores 10, 13, and 20, all within 25 m of the ocean, encountered loams and sands (of  
 180 both basaltic and carbonate composition), some layers with charcoal, but no clear evidence of  
 181 occupation (cf., Morrison et al. 2018). These cores were excavated to a maximum depth of  
 182 1.8 m and were abandoned as increasing subsurface water prohibited recovery of sediment in  
 183 the auger bucket.. Cores 11 and 12, 100 and 150 m inland respectively, encountered features  
 184 associated with the present village (core 11), and a colluvial deposit (core 12), and both were  
 185 abandoned due to impassable rock at 0.84 m and 1.26 m, respectively.



186

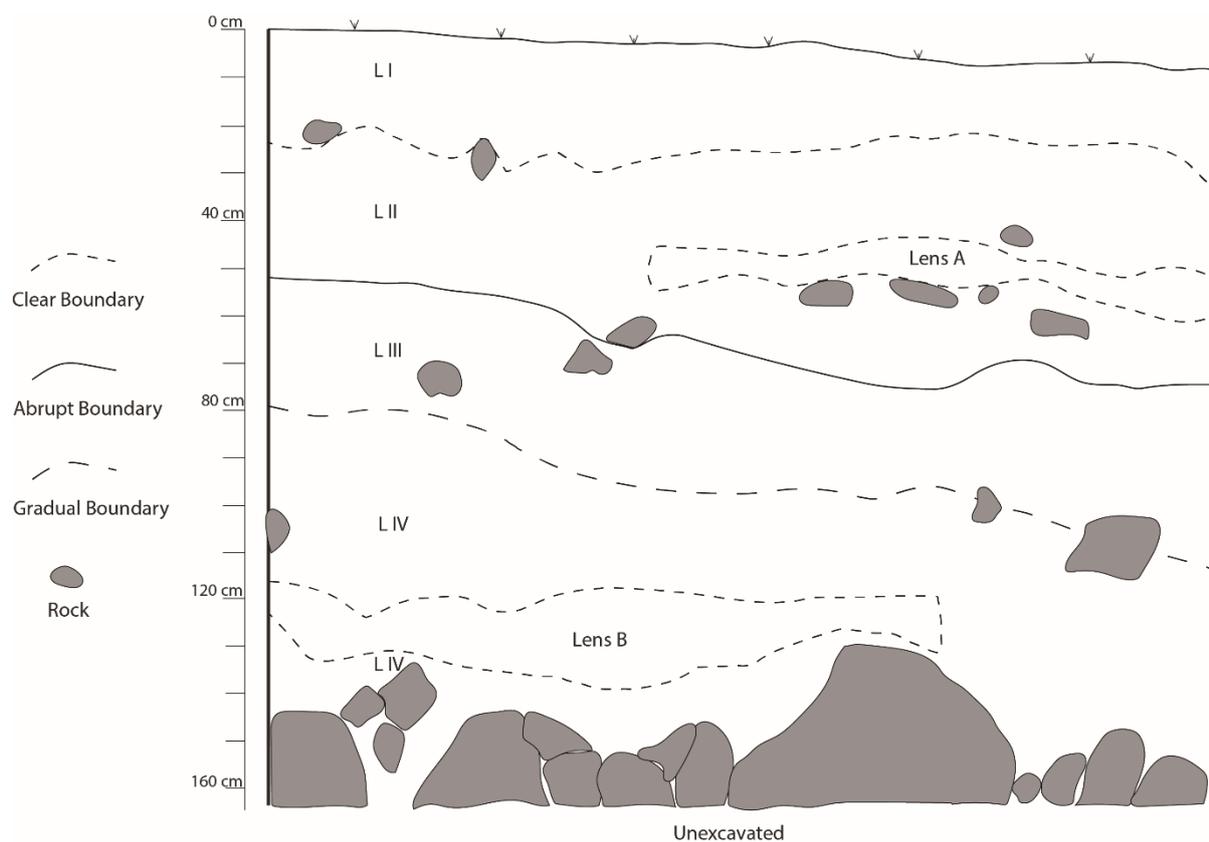
187 Figure 4. Fagaloa-tai project area, 'Upolu, Sāmoa.

188

189 The majority of Fagaloa cores were placed in Lona, the largest village along this  
 190 coastline. Cores (except core 9) were placed in transects running coast-inland and document  
 191 layers of mostly clays and clay loams with an increasing abundance of larger sized basalt

192 clasts with depth (e.g., gravel, cobbles). Maximum core depths varied greatly, some reaching  
193 2 m, while others were abandoned at less than a metre. All cores were abandoned due to  
194 impassable rock, or after reaching the water table that prohibited recovery from greater  
195 depths. Charcoal, some deposited in thin bands, was encountered in cores 3-5, and 7.

196         A stratigraphic section exposed by stream-incising at the western end of the village  
197 was faced, profiled, and samples were obtained for charcoal and plant microfossil analysis.  
198 The depositional sequence revealed by the section (Figure 5 and Table 1) shows  
199 anthropogenic deposits, including large-scale burning events, atop alluvial boulders  
200 approximately 1.5 m below the ground surface. Like the cores (e.g., Cores 3-8) the stream  
201 section reveals increasingly cobbly deposits with depth. The burn events contain charcoal  
202 from short-lived species dating to 1173-962 cal BP (Beta-448392, 95.4%) for the lower Lens  
203 B, and 539-482 cal BP (Beta-448393, 95.4%) for the upper Layer II (Table 2). Charcoal  
204 from the approximately 1000 cal BP burn deposit includes breadfruit (*Artocarpus altilis*), a  
205 Polynesian introduced crop, Malvaceae and unknown hardwood, while the ca. 500 cal BP  
206 burn deposit also includes *A. altilis*, *Calophyllum* sp., cf. *Kleinhovia hospita*, and Fabaceae.



207

208 Figure 5. West profile of Lona river section, Lona, Fagaloa-tai, 'Upolu.

209

210 Table 1. Archaeologically identified deposits in Lona river cut.

| Depositional Unit | Description  | Depositional interpretation  |
|-------------------|--|--|
| I                 | 10YR 3/2; sandy clay loam; clear, wavy boundary; very fine sub-angular blocky structure; very friable consistence; few micro roots; < 10% gravels – cobbles, rounded – well-rounded; charcoal flecks                                       | Recent topsoil   |
| II                | 10YR 2/1; sandy clay; abrupt – gradual, wavy boundary; very fine sub-angular blocky structure; friable consistence; very few micro roots; ~ 10% gravels – cobbles, very angular – well-rounded; abundant charcoal chunks, flecks, staining | Anthropogenic large-scale burning  |
| Lens A            | 10YR 3/2; sandy clay; clear boundary; very fine, sub-angular blocky structure; very friable consistence; no roots; <10% gravels – pebbles, sub-angular – well-rounded;   | Deposit of Layer III within Layer II suggesting possibly associated with disturbance from Layer II event |
| III               | 10YR 4/3; sandy clay; gradual, wavy boundary; very fine, sub-angular blocky structure; friable consistence; no roots; <5% gravels – cobbles, sub-angular – well-rounded; charcoal flecks   | Anthropogenic origins similar to Layer IV, but with less alluvial input                                  |

|        |   |  |
|--------|---|--|
| Lens B | 10YR 3/1; sandy clay; clear lower boundary, gradual boundary to profile right; firm consistence; 30-40% gravels – pebbles, sub-angular – well-rounded; abundant charcoal chunks, flecks, staining | Anthropogenic, large-scale burning; lens appears discontinuously along exposed river section |
| IV     | 10YR 4/3; sandy clay; clear, irregular boundary; firm consistence; very few, medium roots; 30-40% pebbles – cobbles; sub-angular – well-rounded; charcoal chunks                                  | Combination of anthropogenic & high-energy alluvial deposition                               |

211

212 Table 2. Radiocarbon sample data for Lona and Samamea. See Cochrane et al. (2019) for

213 Bayesian estimates for Samamea.

| Provenience                                      | Lab No.     | Sample Material   | $^{13}\text{C}/^{12}\text{C}$<br>Ratio<br>(‰) | Conventional<br>Radiocarbon<br>Age (BP) | Calibrated 2 sd age<br>range (BP)*                                     |
|--|-------------|---|---|---|--|
| Lona River<br>Section, Layer II                  | Beta-448393 | cf. <i>Erythrina</i> sp.<br>charcoal                          | -26.0   | 460 ± 30                                | 539-482 (95.4%)  |
| Lona River<br>Section, Lens B                    | Beta-448392 | cf. <i>Guioa</i> sp.<br>charcoal                              | -25.0   | 1130 ± 30                               | 1090-962 (86.6%)<br>1145-1108 (5.6%)<br>1173-1159 (3.2%)               |
| Samamea, Unit 1,<br>Layer V, 118-130<br>cmbs†    | Beta-472208 | cf. <i>Commersonia<br/>bartramia</i>                          | -25.1   | 220 ± 30                                | 309-267 (36.7%)<br>215-145 (44.7%)<br>17-0 (14%)                       |
| Samamea, Unit1,<br>Layer X, 196-215<br>cmbs      | Beta-472207 | Unknown<br>hardwood<br>charcoal                               | -25.5   | 280 ± 30                                | 452-447 (0.8%)<br>438-350 (54.3%)<br>334-284 (38.2%)<br>166-155 (2.1%) |
| Samamea, Unit 1,<br>Layer XII, 242-<br>270 cmbs† | Beta-472206 | Unknown<br>hardwood<br>charcoal<br>(Leguminosea-<br>Fabaceae) | -26.9   | 340 ± 30                                | 481-311 (95.4%)  |
| Samamea, Unit 1,<br>Layer XII, 270-<br>280 cmbs† | Beta-472205 | Unknown<br>hardwood<br>charcoal                               | -29.7   | 280 ± 30                                | 452-447 (0.8%)<br>438-350 (54.3%)<br>334-284 (38.2%)<br>166-155 (2.1%) |

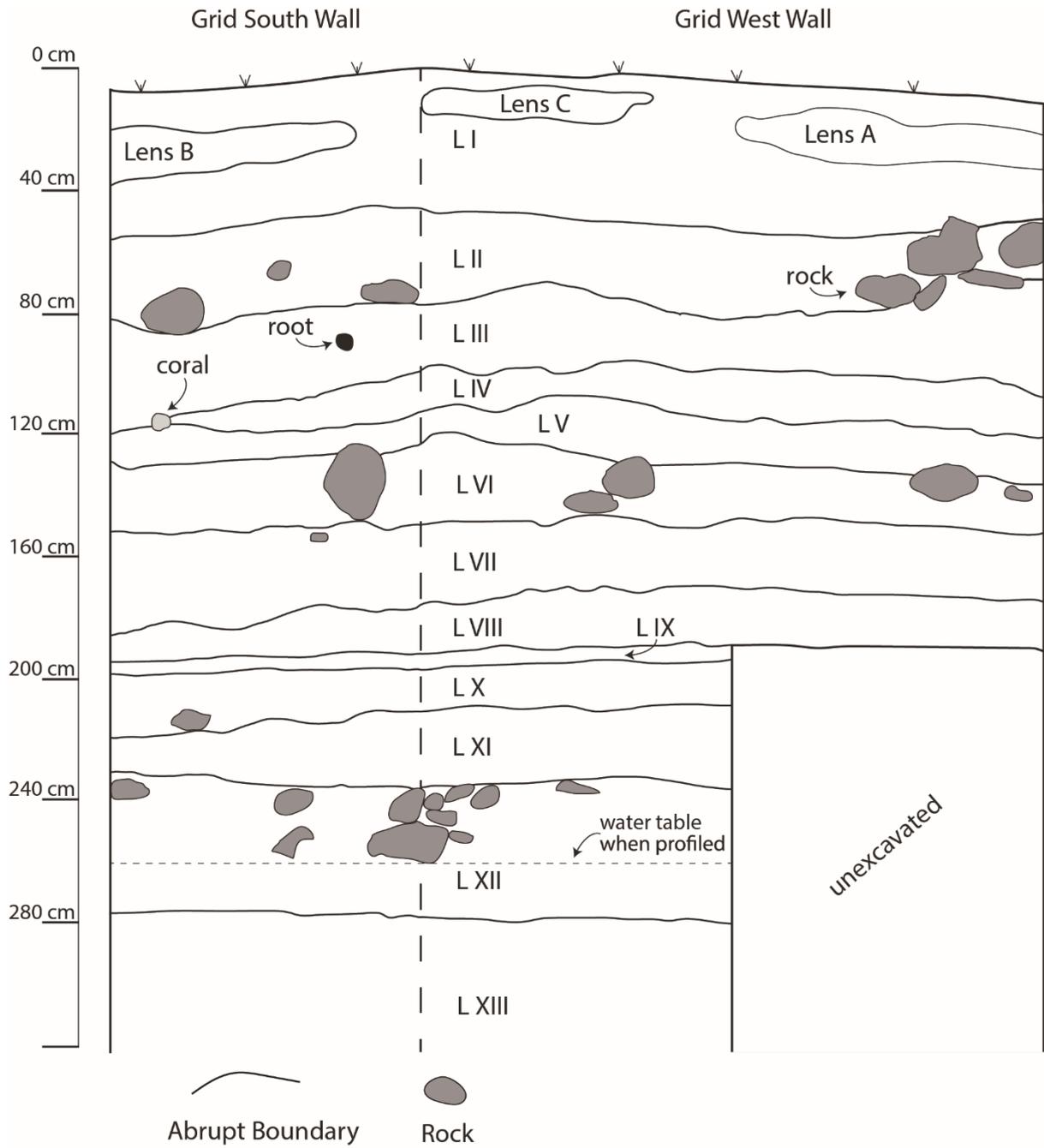
214 \* Oxcal 4.3, IntCal 13 curve (Bronk Ramsey 2017; Reimer et al. 2013)

215 † sample retrieved in situ within layer sediment at indicated depth range

216

217 Two cores (17, 19) were placed in Samamea, the eastern-most village in Fagaloa-tai,  
218 and retrieved sediment to depths of 2.34 m and 1.52 m, at which point they encountered  
219 impassable rock. These cores uncovered a deep sequence of (carbonate) sandy deposits with  
220 charcoal and shellfish food remains. A 2 x 1 m test unit was excavated nearby to further  
221 explore the area. The excavation trench (Figure 6 and Table 3) revealed a depositional

222 sequence, comprising cultural colluvium with charcoal, lithic artefacts, shell and bone,  
223 interspersed with marine deposits, some with high-energy inputs. Dates (Table 2) obtained on  
224 charcoal in the cultural deposits were modelled in OxCal v.4.3.2 (Bronk Ramsey 2017) using  
225 a sequential multi-phase model to estimate the start of deposition, and the ‘Span’ command  
226 was used to estimate the overall duration of the entire deposit. The agreement index for the  
227 model is 97.5 and 102.7 overall. The results indicate rapid deposition, with the lowest cultural  
228 layer (XII) excavated to 2.8 mbs most likely originating between *479-304 cal BP* (95.4%  
229 HPD) and an estimated span of 0-367 years (95.4%). OxCal script and modelled results of  
230 this analysis are in Cochrane et al. (2019). Subsurface layer depth interpolation was not  
231 undertaken with the Fagaloa sediments due to the difficulty of correlating layers in cores over  
232 any likely meaningful spatial extent.



233

234 Figure 6. South and West walls of Test Unit 1, Samamea, Fagaloa-tai, 'Upolu.

235

237 Table 3. Archaeologically identified deposits in Samamea excavation.

| Depositional Unit | Description  | Depositional interpretation   |
|-------------------|--|---|
| I                 | 2.5Y 6/3, light yellowish brown; abrupt (1 mm – 2.5 cm), smooth, lower boundary; weak, fine, crumb structure; very fine - medium (all sizes use Wentworth scale) sand; loose dry-consistence; very few medium roots; < 1% pebbles, basalt, not spherical & rounded (sphericity 0.5, roundness 0.7; Krumbein [1963]); ~ 5% pebbles, coral, not spherical & subangular (0.5, 0.3). Lens A: 5YR 2.5/2, dark reddish brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky; sandy clay loam; very friable moist-consistence; < 1% pebbles, basalt, not spherical & subrounded (0.5, 0.5); ~ 5% pebbles, coral, not spherical & subangular (0.5, 0.3); Lenses B & C: same as A, but greater than granule-sized clasts consist of ~ 80% cobbles, coral, not spherical & subrounded (0.5, 0.5) | Modern village surface sediment with coral sand & anthropogenic inputs  |
| II                | 7.5YR 3/1, very dark gray; clear (2.5 - 7.5 cm), wavy lower boundary; weak, very fine, subangular blocky structure; sandy clay; friable moist-consistence; common, medium roots; ~ 20% cobbles - boulders, basalt, spherical - not spherical & subrounded (0.3 - 0.9, 0.5); > 50% pebbles, coral, not spherical & subrounded (0.5, 0.5)  | Anthropogenic colluvium, abundant charcoal & shell with some marine deposition                                      |
| III               | 7.5YR 3/1, very dark gray; abrupt, wavy lower boundary; weak, very fine, subangular blocky; friable, moist-consistence; sandy clay; very few coarse, few medium - fine, roots; ~ 5% pebbles, basalt, not spherical & rounded - subrounded (0.5, 0.7 - 0.5)   | Anthropogenic colluvium, abundant charcoal & shell; rock & coral feature at base of layer, resting on surface of IV |
| IV                | 2.5Y 6/3, light yellowish brown; abrupt, wavy lower boundary; weak, fine crumb structure; loose dry-consistence; fine - medium sand; ; ~ 1% pebbles, basalt, not spherical & subrounded (0.5, 0.5); ~ 5% pebbles - cobbles, coral, not spherical & subrounded (0.5, 0.5); very few, fine roots   | Marine deposit  |
| V                 | 10YR 3/2, very dark grayish brown; abrupt, wavy, lower boundary; weak, very fine, subangular blocky structure; very friable moist-consistence; sandy clay; ~ 5 - 10% pebbles - cobbles, basalt, not spherical & rounded - subrounded (0.5, 0.7 - 0.5); very few, fine roots; charcoal flecks & chunks (~ 2 cm)   | Anthropogenic colluvium; abundant charcoal  |
| VI                | 10YR 6/3, pale brown; abrupt, smooth lower boundary; weak, fine crumb structure; very friable moist-consistence; very fine - medium sand; ~ 15 - 20% cobbles - boulders, basalt, not spherical & rounded -subrounded (0.5, 0.7 - 0.5); ~ 1 - 5% pebbles - cobbles, coral, not spherical & sub-angular - subrounded (0.5, 0.3 - 0.5)  | Marine deposit with some high-energy inputs; relatively unbroken, sparse shell, probably natural                    |
| VII               | 7.5YR 3/2, dark brown; abrupt, wavy lower boundary; weak, very fine subangular blocky structure; friable, moist-consistence; silty clay; ~1 - 5%   | Anthropogenic colluvium with  |

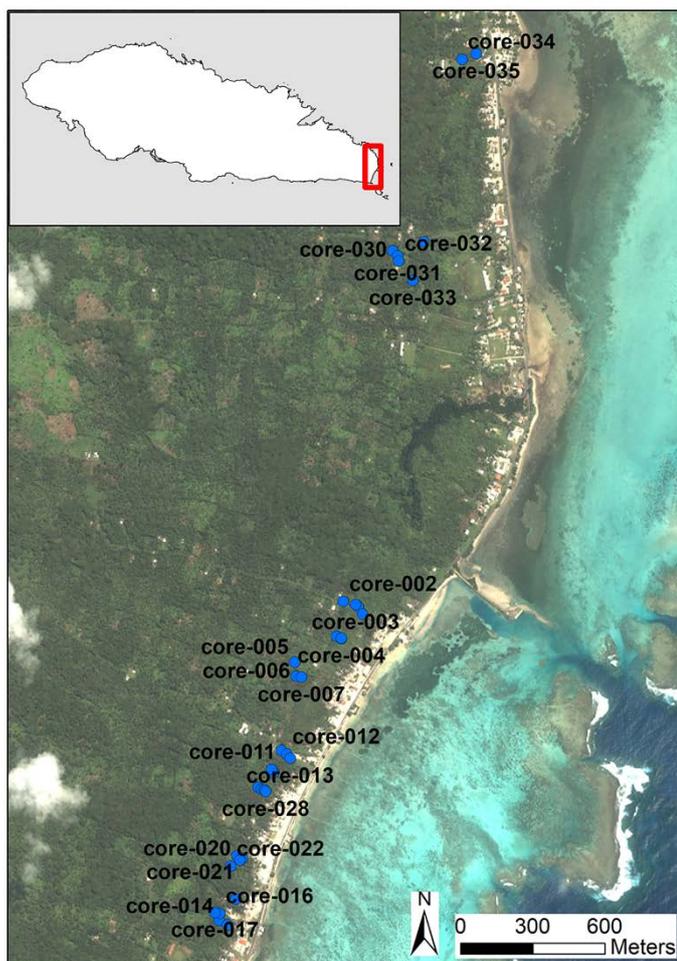
|      |  |   |
|------|--|---|
|      | pebbles - cobbles, basalt, not spherical & subrounded (0.5, 0.5); very few fine - medium roots; charcoal flecks & chunks (up to 1 cm)  | relatively more artefactual material than shallower layers            |
| VIII | 10YR 2/3, dark yellowish brown; abrupt, wavy lower boundary; weak, very fine subangular blocky structure; very friable moist-consistence; sandy clay   | Anthropogenic deposit, lower transport energy than shallower deposits |
| IX   | 2.5Y 5/3, light olive brown; abrupt, wavy lower boundary; weak, very fine, crumb structure; very friable moist-consistence; fine - medium sand; < 1% pebbles, coral, spherical & subrounded (0.7, 0.5)   | Low energy marine deposit   |
| X    | 10YR 3/2, very dark grayish brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky structure; friable moist-consistence; sandy clay loam; ~ 1% cobble, basalt, spherical - not spherical & rounded - subrounded (0.9 - 0.5, 0.9 - 0.5); ~20% pebble, coral, not spherical & rounded (0.5, 0.9); very few, very fine roots; charcoal flecks | Anthropogenic deposit   |
| XI   | 7.5YR 3/2, dark brown; abrupt, wavy lower boundary; weak, very fine, subangular blocky structure; very friable moist-consistence; silty clay; ~ 50% gravel to small pebble, basalt, spherical & subrounded - rounded (0.9, 0.7-0.9); very few, fine - very fine roots  | Anthropogenic deposit   |
| XII  | 7.5YR 2.5/2, very dark brown; sandy clay; ~ 70% cobbles, basalt, not spherical, & subrounded (0.5, 0.7); ~ 10% cobbles, coral (decomposing), not spherical & subangular (0.5, 0.3); very few, medium - fine roots; beach rock present; complete description not possible due to fluctuating water table in excavation                                      | Anthropogenic deposit   |
| XIII | Systematic layer description not possible as layer under water table; layer texture is carbonate sand with ~ 30% basalt sand (possibly derived from Layer XII); not spherical & subrounded coral cobbles & basalt granules - pebbles present.  | Marine deposit  |

238

## 239 3.3 Aleipata

240 Forty-one auger cores were placed along ‘Upolu’'s eastern coastline (Figure 7). Cores  
241 36-41 are located inland, between the two norther clusters of cores, but lack precise location  
242 data and are not discussed (other core data included in supplementary material). Median core  
243 depth was 1.65 m with a maximum depth of 2.8 m. Cores were abandoned when they  
244 encountered impassable rock or the presence of the water table prohibited recovery from  
245 greater depth. A string of villages along the eastern coastline of ‘Upolu blend into each other,  
246 so the following summary is not organized strictly by village, but proceeds from north to  
247 south. Cores 29-34 all revealed clay sediments up to 2.8 m deep, while core 35 uncovered a  
248 loam and sand up to 2 m deep comprised of olivine rich terrigenous clasts. The coastline

249 between these most northern cores and cores 1-3 is swampy and was not investigated. The  
250 subsurface sediments uncovered in cores 1-28 comprise a carbonate-sand paleobeach ridge  
251 overlain by silty clays and silty clay loams up to 1.8 m thick. The subsurface carbonate sand  
252 layer extends up to approximately 215 m inland in the north (core 3) and 130 m inland in the  
253 south (core 21), associated with a narrowing of the current beach ridge at the southern end.  
254 Cochrane et al. (2016) and Kane et al. (2017) previously identified the paleobeach-ridge  
255 through analysis of the recovered core sediments from Satitua Village (cores 1-13).  
256 Furthermore, Kane et al. (2017) generated geophysical models of Holocene sea level and  
257 combined these with both high-precision topographic data and sedimentological analyses  
258 such as grain micromorphology to determine that the beach ridge began to form about 2000  
259 years ago during a marine transgression following the mid-Holocene high-stand. No  
260 carbonate sand paleobeach-ridge was present before this time.



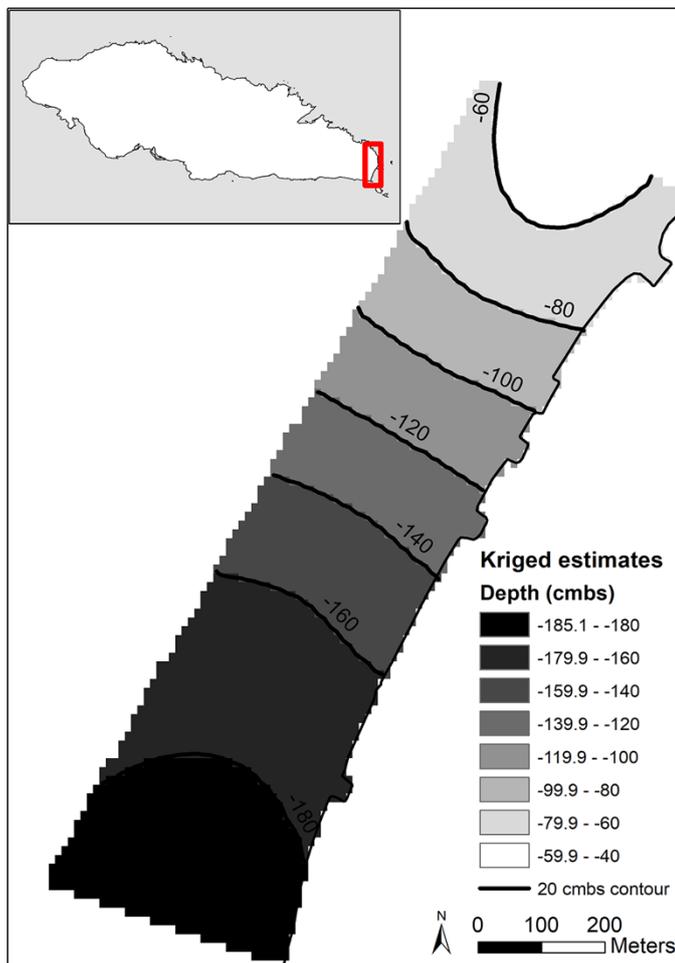
261

262 Figure 7. Aleipata project area, ‘Upolu, Sāmoa.

263

264 Cores 1-13 used in the Cochrane et al. (2016) and Kane et al. (2017) studies can now  
 265 be combined with Cores 14-28 to the south in which the same carbonate sand layer was  
 266 encountered (Cores 19, 21, 23, 25, and 35). The top depth of the carbonate sand across all  
 267 these cores was interpolated to estimate the extent of the carbonate sand beach ridge and its  
 268 more recent depositional history. Core 35 was removed from the interpolation given its large  
 269 separation distance ( $> 2$  km) from the other usable cores. Convergence of the modelled  
 270 variogram on the sample variogram for Aleipata was unsuccessful after 200 iterations, though

271 a reasonable fit was still obtained with a spherical model (see Cochran et al. 2019). The  
 272 Kriged interpolation of the top depth of carbonate sand deposits within the Aleipata cores  
 273 reveals relatively lower depths (e.g., 0.60-0.80 mbs) in the northern portion of the study area  
 274 trending to deeper deposits (e.g., 1.60-1.80 mbs) in the southern region portion (Figure 8).  
 275 This corresponds to probable increased colluvial deposition on top of the carbonate sand  
 276 layer in the south where there is a steeper coastal to inland gradient.



277  
 278 Figure 8. Kriged interpolation of Aleipata sand deposits.

279

280 4.0 Discussion

281           The core, excavation, and chronological data from Mulifanua, Fagaloa and Aleipata  
282 provide a useful starting point for evaluating two geological explanations, the coastal flats  
283 and terrestrial destruction hypotheses, that may account for the negligible coastal  
284 archaeological record over approximately the first millennium of Sāmoan settlement. The  
285 Mulifanua core data revealed a terrestrial, subsurface, carbonate sand in the proximity of the  
286 submarine Lapita deposit and former coastal flat. The subsurface carbonate sand deposit has a  
287 modelled top depth between 0.6 and 1.6 m below the current surface and the model suggests  
288 it is spatially extensive (see Figure 3). Even without absolute chronological data, the  
289 stratigraphically superior position of the carbonate sand layer relative to the Lapita deposit  
290 suggests similar depositional processes, including the generation of a carbonate sand coastal  
291 flat and relative subsidence, have occurred in the area since the Lapita assemblage formed .  
292 The sparse and ambiguous cultural material in the Mulifanua cores also suggests extensive  
293 archaeological deposits are not present within the top approximately 1.5 m of sediment. The  
294 general lack of archaeological materials may be attributed to terrestrial geological destruction  
295 of these deposits, or a small or absent population on the coast during the time represented by  
296 the deposits. The latter is a possibility given that there appears to be varying intensity of  
297 coastal use over time at nearby Manono, a small island offshore from Mulifanua (Sand et al.  
298 2016). To test the terrestrial destruction hypothesis as an explanation for the lack of early  
299 terrestrial archaeological deposits, deeper excavations, chronological, sedimentological, and a  
300 micromorphological analyses (e.g., Kane et al. 2017) are required. Ideally, this work should  
301 focus on deposits near Core 1, the only core that approached the depth of the submarine  
302 Lapita deposit, and should use an engine-powered corer (e.g., vibra-corer) to recover  
303 sediments between the bottom depth of the auger cores and confirmed Lapita-age deposits.  
304 Such work would also be relevant to identifying catastrophic events such as tsunamis that may  
305 affect the archaeological record (Goff et al. 2017). A systematic coring programme

306 throughout the area could also evaluate the density of early cultural remains to address the  
307 demographic hypothesis proposed by Cochrane (2018).

308 In Fagaloa, the Lona village stream section at the western end of the coastal flat  
309 revealed subsurface deposits approximately 1000 cal BP at about 1.5 m deep and this section  
310 comprises a depositional sequence similar to identified core transects from the middle of the  
311 beach flat (Cores 1, 3-5 and 6-8; see Cochrane et al. [2019] for core descriptions). Excavation  
312 in Samamea village uncovered a 2.8 m sequence of cultural deposition that did not begin until  
313 after about *479-304 cal BP*, at the earliest, a time similar to the more recent burn layer in the  
314 Lona village stream profile. The widely dispersed Fagaloa auger cores from Talefaga,  
315 Ma'asina, and Lona identified a general depositional sequence, conceivably accounting for  
316 the last 1000 years based on the Lona stream section, to include terrigenous colluvial  
317 deposition, and possible in situ weathering of parent rock, as indicated by increasingly cobbly  
318 sediment with depth. To test both the coastal flats and terrestrial destruction hypotheses  
319 deeper excavations are required in these villages. Again, engine-powered coring might first  
320 be used to retrieve sediments beneath the cal. 1000 year old basal stream section deposits in  
321 Lona to determine if coastal flats dating to the first 1000 years of Samoan settlement exist.  
322 Sedimentological and micromorphological analyses, along with absolute chronological data,  
323 will also be required to assess both hypotheses here.

324 The Samamea cores and excavation uncovered a dramatically different depositional  
325 history even though Samamea is only about 1 km along the coast from Lona. This 2.8 m thick  
326 sequence of carbonate sands and anthropogenic sediments, interspersed with storm deposits,  
327 probably formed over less than the last 400 years according to our Bayesian model (Cochrane et  
328 al. 2019). If an early coastal flat exists here, it is likely to be much deeper and will require  
329 sufficient tools to access such as a drill-truck, excavator, and shoring for excavation. If the  
330 last 400 years are a guide, terrestrial destruction of deposits seems unlikely, even in this

331 highly dynamic depositional environment, but the aforementioned tools, along with  
332 appropriate geoarchaeological analyses and dating will be required to evaluate this.

333 Finally, along the eastern coastline of Aleipata, previous excavation and analysis of  
334 auger cores in Satitua village indicated that the current coastal flat began to form ca. 2000 cal  
335 BP (Kane et al. 2017). The earliest cultural deposits on this landform are ca. 500 cal BP in  
336 age (Cochrane et al. 2016), similar to Samamea. Geostatistical interpolation of the newly  
337 reported core data from the north and south of Satitua Village augment these findings and  
338 suggest the subsurface carbonate sand beach-ridge extends southward to Core 21 and  
339 northwards to Core 1, a distance of 1.7 km over the approximately 7 km eastern Aleipata  
340 coastline. The additional core data presented here confirms the extent of the subsurface  
341 carbonate sand beach ridge and supports the coastal flats hypothesis that there were few  
342 beach-ridges present during the first several hundred years of Samoan settlement (Rieth et al.  
343 2008). Additionally, the terrestrial destruction hypothesis is not supported in the Aleipata  
344 study area, nor is relative island subsidence as an explanation for a lack of early  
345 archaeological sites. Additional coring and sedimentological analyses should be undertaken  
346 along the most northern portion of the Aleipata coastline to further evaluate these hypotheses.

347

#### 348 4.1 Conclusions

349 Our program of coring and excavation in three different coastal environments widely  
350 dispersed on 'Upolu provides a preliminary evaluation of two hypotheses to account for the  
351 relative lack of early coastal archaeological assemblages. Along with relative island  
352 subsidence in Mulifanau, the (lack of) coastal flats hypothesis is supported for Aleipata, as a  
353 reason for the relative scarcity of early archaeological assemblages. Terrestrial destruction  
354 may also account for unique coastal archaeological record in some areas of Sāmoa and we

355 have suggested engine-powered coring to reach sediments of relevant depth and  
356 geoarchaeological analyses to assess depositional history.

357         The Mulifanua (western) and Aleipata (eastern) sides of ‘Upolu have similar  
358 terrestrial subsurface deposits of carbonate sand, but these result from different processes. In  
359 the west, long-term, at least since 3000 years ago, carbonate sand beach-ridge formation and  
360 subsidence characterises coastal landform evolution (Dickinson 2007; Green and Dickinson  
361 1998). In the east, beach-ridge formation and progradation began after about 2000 cal. BP  
362 with the change from a transgressive to a regressive coastal setting that promoted reef-  
363 derived sand deposition on the coast (Kane et al. 2017). Thus, there are very likely more sub-  
364 marine Lapita and early archaeological assemblages on, and near, the west coast of ‘Upolu,  
365 but there should be no such assemblages along the east coast. Coastal landforms along the  
366 western half of southern ‘Upolu have been investigated by Goodwin and Grossman (2003)  
367 who note a change from estuaries and barrier spits to a dominance of mangrove swamps with  
368 some coastal plains and progradation after about 1000 cal. BP. Their work suggests that  
369 archaeological assemblages on the coast dating to the first millennium or more of settlement,  
370 if they exist, will be in deposits modified by these landform changes. No such detailed  
371 assessment of coastal landform evolution along northern ‘Upolu has been completed, but our  
372 work suggests a varied set of processes, rapid colluvial deposition, and alluviation has  
373 transformed the coast of Fagaloa, at least in the last 1000 years. The recovery of older  
374 archaeological deposits there should proceed using deep mechanical excavation to assess  
375 potential.

376

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384

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388

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